



05-13-02

1723

36
8-302

EXPRESS MAIL CERTIFICATE

Date 5-9-02 Label No. 028647903 US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

A. Davis [Signature]
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 1508/1H817-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gregory COLLINS; James SUMMERTON; Edward SPENCE

Serial No.: 10/021,478 ✓

Confirmation No.: 1321

Filed: October 30, 2001

For: DUAL-STAGE FILTRATION CARTRIDGE

RECEIVED
MAY 15 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith an English translation of each of the foreign language documents identified in Form PTO-1449. It is believed that the applicant in the above-identified patent application has therefore met the "concise explanation" requirement of 35 C.F.R. 1.98.

A concise explanation of the relevance of the foreign language documents as required by 37 CFR 1.98 is attached. On information and belief, this concise explanation is from the point of view of that person identified in 37 CFR 1.56 who is most familiar with the subject matter.

This submission is filed prior to the issuance of a first Office Action on the merits and thus no fee is due.

The present Information Disclosure Statement is being submitted in

compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,



Edward J. Ellis
Registration No. 40,389
Attorney for Applicant(s)

Dated: May 9, 2002

DARBY & DARBY
Post Office Box 5257
New York, NY 10150-5257
(212) 527-7700